

This questionnaire is to be filled out and submitted to Luminor Bank AS, represented within the Republic of Latvia by Luminor Bank AS Latvian Branch (hereinafter also referred to as the Bank) to fulfil the requirements of several regulatory enactments concerning the services provided by the Bank to its customers or offered by the Bank to its existing or potential customers. The above regulatory enactments refer to, e.g. the Anti-Money Laundering and Counter-Terrorism Financing Act and related regulatory enactments as well as the Law "On the Agreement between the Government of the United States of America and the Government of the Republic of Latvia to Improve International Tax Compliance and to Implement the Foreign Account Tax Compliance Act (FATCA)" and the related regulatory enactments.

PLEASE, FILL OUT IN CAPITAL LETTERS

Date

Place of filling out the questionnaire

Personal information

Name, surname

Personal ID number
(date of birth for non-residents)

Country of birth

Certificate on giving up the U.S. citizenship
(if the country of birth is the USA)

Yes

No

Tax identification
number's

Residence for
tax purposes

Citizenship

Residence address
(domicile)

Postal address

Phone No.

E-mail

Account opening purpose in Latvia

Are You politically exposed person*?

No

Yes

Position

*According to definition stipulated in AML/CTF Law, Politically exposed person is a person who:

- a) is or has been entrusted in Latvia, another member country or any third country with prominent public functions over the last year, including: head of state, head of its administrative unit (municipality), head of government, minister (deputy minister or assistant deputy minister (if such position exists in the respective country), state secretary or another senior government official or state administrative unit (municipality) official, member of parliament or member of a similar legislative body, member of the management structure (Board) of a political party, judge of Supreme Court, Constitutional Court or another court (magistrate, member of judicial authority), Supervisory Council or Management Board member of the supreme audit authority, Supervisory Council or Management Board member of the Central Bank, ambassador, charge d'affaires, Supreme commander-in-chief, Supervisory Council or Management Board member of state owned corporation, head of international organisation (director, deputy director) or a person who holds equal office in such organisation;
- b) is the spouse or a person equated to such, offspring or that of the spouse or a person equated to such, parent, grandparent or grandchild, sibling to the persons specified in "a" above;
- c) is closely associated to politically exposed person - private individual who is publicly known as involved in business or any other close relations with any of the above persons or is a shareholder or stakeholder at an enterprise together with a politically exposed person as well as private individual, sole owner or such legal structure, if it is of public knowledge that the structure has been established on behalf of a politically exposed person.

Is the account opening purpose related to execution of transactions on behalf of any third parties?

No

Yes

Source of income and occupation

Salary

Company's name

Country of
incorporation

Pension/ Allowance

Self-employed

Kind of occupation /
source of income

Income from dividends

Company's name

Country of
incorporation

Income from real
estate management

Real estate address

Other

Kind of occupation /
source of income

Transactions with Bank

Average monthly turnover and the number of transactions through accounts

Amount EUR	Number of transactions
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Average monthly turnover and the number of transactions through accounts

Amount EUR	Source of funds
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Average monthly turnover and the number of transactions through accounts

Amount EUR	Purpose of cash withdrawal
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Certification of the Bank's customer (potential customer)

The Bank's customer (potential customer) (hereinafter also referred to as the Customer) is aware that:

- pursuant to regulatory enactments of the Republic of Latvia the Bank is obligated to guarantee confidentiality of certain Customer's information on the Customer, the Customer's accounts with the Bank and transactions conducted by the Customer. The Bank shall keep confidentiality with regard to the above information according to the provisions of the respective regulatory enactments and the Bank's General Business Terms and Conditions;
- The Customer is obligated to immediately in writing advise the Bank on any material changes in the Customer's information..

The Customer certifies to the Bank that::

- the Customer's information is true, accurate, and complete;
- The Customer is entitled to disclose to the Bank the Customer's information for the Bank to apply and otherwise process the Customer's information according to the provisions of regulatory enactments and the Bank's General Business Terms and Conditions.

The Customer acknowledges that the Bank and the processors involved in providing the requested service(s) perform personal data processing. When signing this document, the Customer acknowledges being informed about the general terms and conditions of personal data processing provided in Luminor's Privacy Policy (<https://www.luminor.lv/en/privacy-policy>) and Luminor's Data Retention Policy (<https://www.luminor.lv/en/data-retention-policy>) which upon request is available also at any Bank's place of service and of the purposes and legal basis of the processing as well as any processors, recipients of personal data and the exercise of data subject rights as well as relevant terms and definitions. Luminor's Data Retention Policy will specify the period for which the Customer's personal data will be stored.

The controller of personal data processed under this document is Bank.

Upon request, Luminor's Data Protection Officer dataprotection.LV@luminorgroup.com will provide additional information about the processing of personal data under this service and assist you with the exercise of your rights.

The customer

Name, surname

Signature

Signature of the Bank's officer in whose presence the document was signed

Name, surname

Signature