

**Luminor Bank AS**, reg. No 11315936, address: Liivalaia 45, 10145, Tallinn, Republic of Estonia, represented within the Republic of Latvia by Luminor Bank AS Latvia Branch, reg. No 40203154352, address: Skanstes iela 12, LV-1013, Riga, Republic of Latvia (hereinafter – Bank), e-mail address: info@luminor.lv.

**Luminor Lizings SIA**, unified registration No. 40003348054, legal address: 12 Skanstes Street, Riga, LV-1013, Republic of Latvia, (hereinafter – Luminor), e-mail address: info@luminor.lv, telephone: (+371) 67171880.

This application is to be filled out and submitted to the Luminor to receive financing service's offer and to fulfil the requirements of several regulatory enactments concerning the services provided by the Luminor to its customers or offered by the Luminor to its existing or potential customers. The above regulatory enactments refer to, e.g. the Law on the Prevention of Money Laundering and Terrorism and Proliferation Financing and related regulatory enactments.

### LEASE OBJECT

Car brand, model	Engine capacity (cm <sup>3</sup> ), Power (kW); Gross weight (kg)
Year of manufacture	Price in EUR, including VAT

### INFORMATION ON SELLER

Company's name/ Name, surname	Registration number/ Personal ID number
Contact person	Phone
	E-mail

### DESIRABLE LEASING CONDITIONS

Leasing type:	Financial lease	Operational lease	First payment (amount, currency)	Leasing period (up to 60 month)
Residual value % (for operational lease)		Mileage at the end of lease period, km (for operational lease)	E-mail address to receive electronic invoice (www.rekini.lv)	

### PURPOSE OF LEASING TRANSACTION

Business purpose

Other (please specify)

### APPLICANT

Company's name and type of legal entity (e.g. SIA)		
Registration number	Country of incorporation (jurisdiction)	
Year of foundation	Count of employees	Employee count 6 months ago
Coutry/-ies of tax purpose		
Tax identification number/-s		
Core business profile		
Other business profiles (if exist)		
Legal address		
Changes in legal address within last 12 month	Principal place of business (if differs from Legal address)	
Postal address (if differs from Legal address)		
Related companies (if exist)		%
Bank*		
* Bank, which services company is using to receive general amount of finance services and ensure financial operations related with Applicant's business activities.		
Phone number	Name, surname of contact person	
E-mail address	Website	

### SIGNATORY OF THE APPLICATION/AGREEMENT

	Person No. 1	Person No. 2
Please choose the document that will be signed:	Application	Agreement
	Application	Agreement
Name, surname		
Personal ID number		
Date of birth		
Country of residence		
Citizenship*		

On behalf of Applicant \_\_\_\_\_ Signature \_\_\_\_\_

Position \_\_\_\_\_

Virtue of representation \_\_\_\_\_ Articles of association \_\_\_\_\_ Proxy \_\_\_\_\_

E-mail \_\_\_\_\_

Phone \_\_\_\_\_

**To be specified for non-residents:**

Personal identification document number \_\_\_\_\_

Date of issue \_\_\_\_\_

Issuing state and authority \_\_\_\_\_

\* Please specify all citizenships, if exist more than one.

### SOURCE OF FUNDS USED FOR LEASING INSTALMENTS

Income gained from business activity \_\_\_\_\_ Investments \_\_\_\_\_ Income of branches/subsidiaries \_\_\_\_\_ Loan \_\_\_\_\_

Donations/grants/subsidies \_\_\_\_\_ Other (please specify) \_\_\_\_\_

### APPLICANT'S LIABILITIES

Type of liability	Lender	Outstanding amount	Currency	Maturity date	Monthly payment
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### MAIN CO-OPERATION PARTNERS

Name of co-operation partner	Registration No.	Country of incorporation (jurisdiction)
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### APPLICANT'S OWNERS/SHAREHOLDERS

Fill in in case there is no possibility to identify Applicant's owners. Applicant is:

Association/foundation \_\_\_\_\_ Political party/religious organisation \_\_\_\_\_ State/municipal institution \_\_\_\_\_

An enterprise controlled by state or municipality \_\_\_\_\_ The Applicant is a public liability company (100% of shares are listed at stock exchange) \_\_\_\_\_

Please specify the name of stock exchange \_\_\_\_\_

#### Applicant's shareholders

	Person No. 1	Person No. 2	Person No. 3
Company's name/Name, surname			
Registration number/Personal ID number			
Date of birth			
Country of incorporation/Country of residence			
Number of shares, %			
Citizenship*			

\* Please specify all citizenships, if exist more than one.

On behalf of Applicant \_\_\_\_\_ Signature \_\_\_\_\_

## APPLICANT'S BENEFICIAL OWNER (BO)

## Types of control exercised by the BO:

**(A) Direct control** – private individual who directly owns more than 25% of the shares of the company;

**(B) Indirect control** – private individual who indirectly owns more than 25% of the company legal entity's shares (in the case of indirect participation, the control shall be exercised through another person – private individual or legal entity);

**(C) Direct/indirect control** – a private person who directly or indirectly exercises control over the company on the basis of authorisation agreement, management agreement etc.;

**(D) Other control type** – please specify;

**(E) Senior official/CEO** – private individual acting as a senior manager official if no person under above mentioned points is not relevant.

	Person No. 1	Person No. 2	Person No. 3
Type of control (please choose control type mentioned above, specifying (C), (D), (E) control type (if applicable))			
Number of shares, % (if applicable)			
Name, surname			
Personal ID number			
Date of birth			
Citizenship*			
Permanent place of residence (state)			
<b>To be specified for non-residents:</b>			
Personal identification document number			
Date of issue			
Issuing state and authority			

\* Please specify all citizenships, if exist more than one.

## Is Person or Person's close family members or close associates considered as a Politically Exposed Persons\*\*?

	Person No. 1	Person No. 2	Person No. 3
	<b>No</b>	<b>No</b>	<b>No</b>
	<b>Yes</b> (please, fill in fields below, except relations with this person)	<b>Yes</b> (please, fill in fields below, except relations with this person)	<b>Yes</b> (please, fill in fields below, except relations with this person)
	<b>Yes, family member</b> (please, fill in all fields below)	<b>Yes, family member</b> (please, fill in all fields below)	<b>Yes, family member</b> (please, fill in all fields below)
	<b>Yes, close associate</b> (please, fill in all fields below)	<b>Yes, close associate</b> (please, fill in all fields below)	<b>Yes, close associate</b> (please, fill in all fields below)
Name, surname			
Position, institution			
Relations with this person			
Country of position			
If a person is not taking a prominent public position any more, please, specify the date as of (dd.mm.year):			

**\*\* A politically exposed person** is a person who takes or was taking an important public position in any state, including: holders of the highest office of state authority, head of government, a minister, a vice-minister, a deputy vice-minister, head of state administrative entity (municipality), state secretary or other high official in the government or a state administrative entity (municipality), parliamentary deputy or a member of other similar legislative structure, board member of a governing structure (board) of a political party, constitutional court, supreme court or other level court judge (member of a court institution), a member of a council or a member of a board of a supreme inspection (audit) institution, a member of a council or a member of a board of a central bank, an ambassador, an authorized registrar, general military officer, a member of a council or a board of a state enterprise, a head and a board member of an international organisation (director, deputy director) or a person taking equal position in the organisation.

**A family member of a politically exposed person** is a child of a politically exposed person and the child's spouse or a person equate with a spouse, as well as a parent, grandparent, grandchild, brother, sister, spouse or a person equate with a spouse, as well as a child of this person and his/her spouse or a person equate with a spouse. A person is considered to be a person equate with a spouse only if this status is defined by the legislation of a respective state.

**A person closely related to a politically exposed person** is a private individual, which is known to have business relations with a politically exposed person or other close relations, or the person is a stockholder or a shareholder in the same enterprise as the politically exposed person, as well as a private individual who is the owner of a single legal formation, which is known to have been established for the benefit of a politically exposed person.

### STRUCTURE OF THE APPLICANT

If the **Applicant's shareholder is legal entity**, it is necessary to provide or to describe the structure (scheme) of the Applicant's shareholders, specifying the proportion (%) of shares of each BO, as well as to provide supporting documentation.

If there is not enough space, please, submit structure of the Applicant shareholders as a separate signed annex.

**Guarantor** Would like to attract the guarantor to strengthen the Leasing obligations (Guarantee provision application form)

By signing this application (hereinafter referred to as the "Application"), I, \_\_\_\_\_ on the behalf of the Company:  
(given name, surname)

- certify that the information provided in the Application and all the enclosed documents is true, complete and accurate, and I undertake to inform Luminor on any changes of the above information before signing of the leasing agreement, and I agree that the Application/the information specified in the Application is transferred to Luminor and Bank, and to its affiliate, including those becoming the affiliate in the future,
- certify that I have been informed that provision of false or incomplete information to Luminor is a basis for bringing the person who has provided the information to criminal liability in accordance with Section 210 of the Criminal Law. I know that the failure to complete the information required in the Application will be considered as the absence of such information or as a negative answer and that leasing financing will not be granted, if due to submitted incomplete information it would not be possible to evaluate the Applicant or assess its possibility to complete obligations of financial service agreement,
- certify that I am entitled to sign and submit the Application to Luminor on behalf of the Applicant,
- certify that I am informed and agree that Luminor shall be entitled to process the information (incl. the personal data) specified in the Application and its appendices, including the transfer of Applicant's information to third persons, as well as to acquire additional information from any other third person, incl. AS "Kreditinformācijas Birojs", reg.No. 40103673493, un SIA „CREFO Rating”, reg.No. 40003807493, in order to supplement and verify the authenticity of the information specified in the Application and its appendices, to assess the risk of transaction to be concluded with the Applicant, the credit capacity of the Applicant, ability to offer services provided by Luminor and enter into financial service agreement,
- certify that the Applicant is informed about the Luminor's right to provide the requested leasing amount or to decline the leasing application at its own discretion without explaining the basis for its decision,
- take full responsibility for any and all losses that Luminor, with affiliates of Luminor or third persons may suffer due to wrong and/or fragmentary completion of the Application,
- certify that the Applicant and other persons specified in this Application are aware of and agree that the Application and the enclosed documents are submitted to the seller or to a trading enterprise selling the Lease Object which is authorized to accept the Application and submit it to Luminor,
- certify that personal data of persons specified in this Application are legally acquired and that I have the right to disclose them so that Luminor can ensure the leasing services. I confirm and guarantee that the respective persons are informed on the processing of their personal data by Luminor and they have agreed or given their consent in other way for the processing of such data. I certify that these persons are informed about the Luminor Privacy Policy (<https://www.luminor.lv/en/privacy-policy>) and Luminor Group Data retention policy (<https://www.luminor.lv/sites/default/files/docs/noteikumi/data-retention-policy.pdf>),
- certify that persons specified in this Application are informed that the controller of personal data processed under leasing service provision. Upon request, Luminor's Data Protection Officer (dataprotectionLV@luminorgroup.com) will provide additional information about the processing of personal data under leasing service and assist you with the exercise of your rights.
- certify that the Applicant is informed that a financial services agreement is concluded by signing special terms and conditions. I certify that the Applicant is informed about the possibility to access general terms and conditions of the financial service agreement and to download them from the Luminor webpage ([www.luminor.lv](http://www.luminor.lv)) in order to get acquainted with them and no later than on the last day before signing the financial service agreement to submit proposals to Luminor for amending the general terms and conditions and/or to discuss them. Upon signing of the special terms and conditions, general terms and conditions become an integral part of the agreement and are binding for the parties,
- certify that I am informed that, when offering CASCO, insurance of special equipment and compulsory motor third party liability insurance Luminor acts as insurance agent, distributing an insurance on behalf of AAS "BTA Baltic Insurance Company" (reg. No 40103840140, address: Sporta 11, Rīga, LV-1013) (hereinafter BTA), and agree that Luminor shall process the Applicant's data and the personal data, specified in the Application, and forward it to BTA in order to prepare BTA's insurance offer. BTA as the data controller shall process personal data specified in the Application to secure performance of the insurance agreement and compliance with legitimate interests of BTA or a third party. More information on personal data processing is available on the website [www.bta.lv](http://www.bta.lv) under section Privacy Policy or at BTA's customer service centres.

### SUBMITTED DOCUMENTS

Seller's offer	Proxy for documents and agreement signing	Copy of passport or eID of signatory of Application
Interim financials (not older than 6 month)	Application for a guarantee	Evaluation of the Lease object
Account statement (not older than 6 month; Luminor Bank AS Latvian Branch account statement shall not be submitted)	Annual report for last full year	Structure of the Applicant shareholders
Other (please specify)		

Name, Surname

Signature

Date